

May 10, 2019

U.S. Department of Housing and Urban Development Mary C. Wilson, Director, CPD John J. Duncan Federal Building, Suite 300 710 Locust Street, SW Knoxville, TN 37902-2526

Re: City of Chattanooga's FY 2019 Program Year Consolidated Annual Action Plan

Dear Mrs. Wilson:

I am pleased to inform you that City of Chattanooga's Annual Action Plan for FY 2019-2020 has been submitted via the Integrated Disbursement & Information System (IDIS). The plan details the projects, programs, and activities that will be undertaken with federal entitlement funds, program income, and local leveraging received during the program year. Enclosed are originals and two copies of the Certifications and SF-424s for each grant.

Sincerely,

Andy Berke Mayor

CC: Donna Williams, Administrator, Department of Economic and Community Development

Sandra Gober, Community Development Manager

Enclosures

90

OMB Number: 4040-0004 Expiration Date: 12/31/2019

Application for Federal Assistan	ce SF-424	
Preapplication	New	* If Revision, select appropriate letter(s):  * Other (Specify):
Application  Changed/Corrected Application	Continuation *  Revision	Other (opecity).
* 3, Date Received: 4	4. Applicant Identifier.	
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5a. Federal Entity Identifier:		5b. Federal Award Identifier:
State Use Only:	<u> </u>	
6. Date Received by State:	7. State Application Id	Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: City of Chattanoo	oga	
* b, Employer/Taxpayer Identification Numb	er (EIN/TIN):	* c. Organizational DUNS:
626000259		0431123410000
d. Address:		
* Street1: 101 East 11th S	Street, Suite 200	
Street2:		
* City: Chattanooga		
County/Parish: Hamilton		
* State:		TN: Tennessee
Province:		
* Country:	9	USA: UNITED STATES
* Zip / Postal Code: 37402-4201		
e. Organizational Unit:		·
Department Name:		Division Name:
Economic & Community Dev.		Community Development
f. Name and contact information of pers	son to be contacted on mat	atters involving this application:
Prefix:	* First Name:	Sandra
Middle Name: H.		
* Last Name: Gober		
Suffix:		
Title: Manager, Community Develop	pment	
Organizational Affiliation:		
* Telephone Number: (423) 643-7332		Fax Number: (423) 643-7341
*Email: sgober@chattanooga.gov		

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Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
C: City or Township Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
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* Other (specify):
* 10. Name of Federal Agency:
U.S. Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.218
CFDA Title:
Community Development Block Grant
* 12. Funding Opportunity Number:
14.218
* Title:
Community Development Block Grant
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
CDBG projects and programs for housing, economic development, public services, and community development.
Attach supporting documents as specified in agency instructions.
Add Attachments

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Application	n for Federal Assistant	ce SF-424						
16. Congress	ional Districts Of:					_		
* a. Applicant	3			* b. Pro	gram/Projec	t 3		
Attach an addi	tional list of Program/Project (	Congressional Distri	cts if needed.					
		*	Add Attachm	ent Delete	Attachmen	Vie	w Attachment	
17. Proposed	Project:							
* a. Start Date:	07/01/2019			*	b; End Date	06/30	/2020	
18. Estimated	Funding (\$):							
* a. Federal		1,609,780.00						
* b. Applicant								
* c. State								
* d. Local								
* e. Other								
* f. Program In	come	512,000.00						
* g. TOTAL		2,121,780.00						
* 19. Is Applic	ation Subject to Review B	y State Under Exe	cutive Order 12	372 Process?				
a. This ap	plication was made availab	le to the State und	ler the Executive	Order 12372 Pro	cess for re	view on	£	
b. Program	n is subject to E.O. 12372	but has not been s	elected by the S	tate for review.				
C. Program	m is not covered by E.O. 12	2372.						
* 20. Is the Ap	plicant Delinquent On Any	/ Federal Debt? (I	f "Yes," provide	explanation in a	ttachment.)	)		
Yes	⊠ No							
If "Yes", provi	de explanation and attach							
			Add Attachm	ent Delete	Attachment	Viev	v Attachment	
21. *By signing this application, ! certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)  ** I AGREE  ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.								
Authorized Re	presentative:							
Prefix:	Mr.	* Fir	st Name: Andy					
Middle Name:								
* Last Name:	Berke							
Suffix:								
* Title: Mã	yor, City of Chatta	nooga						
* Telephone Nu	mber: (423) 643-7800			Fax Number:				
* Email: mayo:	r@chattanooga.gov							
* Signature of A	uthorized Representative:	M	~				* Date Signed:	4(14

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OMB Number: 4040-0004 Expiration Date: 12/31/2019

Application f	for Federal Assista	nce SF-424			
* 1. Type of Subr Preapplicat Application Changed/C	tion	New [	* If Revision, select appro	opriate letter(s):	
* 3, Date Receive	ed:	4. Applicant Identifier:			
5a. Federal Entity	y Identifier:		5b. Federal Award Id	entifier:	
State Use Only:	•				
6. Date Received	by State:	7. State Application I	dentifier:		
8. APPLICANT I	NFORMATION:				
* a. Legal Name:	City of Chattan	ooga			
* b. Employer/Tax 626000259	xpayer Identification Nun	nber (EIN/TIN):	* c. Organizational DI	UNS:	
d. Address:					1.0
* Street1: Street2: * City:	101 East 11th Chattanooga	Street, Suite 200			
County/Parish:	Hamilton	= = = = = = = = = = = = = = = = = = = =		1	
* State:		-	TN: Tennes	see	
Province:					
* Country:	,		USA: UNITED S	STATES	
* Zip / Postal Cod	e: 37402-4201				
e. Organizationa	al Unit:				
Department Name	<b>e</b> :		Division Name:		
Economic & C	community Dev.		Community Deve	lopment	
f. Name and cor	ntact information of pe	erson to be contacted on ma	tters involving this a	pplication:	
Prefix:		* First Name:	Sandra		
Middle Name:	Ι.			a	
* Last Name:	Gober				
Suffix:		]			
Title: Manager,	, Community Devel	opment			
Organizational Aff	filiation:				
* Telephone Numl	ber: (423) 643-7332	2	Fax Numb	per: (423) 643-7341	
* Email: sgober	r@chattanooga.gov		The state of the s		1

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Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
C: City or Township Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify):
* 10. Name of Federal Agency:
U.S. Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.231
CFDA Title:
Emergency Solutions Grant
* 12. Funding Opportunity Number:
14.231
* Title:
Emergency Solutions Grant
13. Competition Identification Number:
Title:
•
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
ESG programs providing services to stabilize households facing homelessness through rapid re-
housing and prevention.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

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Application	for Federal Assistanc	e SF-424						
16. Congress	ional Districts Of:							
* a. Applicant	3			* b. Pro	gram/Proje	ct 3		
Attach an addi	tional list of Program/Project C	Congressional Distri	cts if needed.			-a -a		
			Add Attachmer	nt Delete	Attachmer	t Viev	w Attachment	
17. Proposed	Project:							
* a. Start Date:	07/01/2019			*	b. End Dat	<b>e</b> : 06/30	/2020	
18. Estimated	Funding (\$):							
* a. Federal		143,490.00					3	
* b. Applicant								
* c. State								
* d. Local	=							
* e, Other								
* f, Program In	come							
* g. TOTAL		143,490.00				(90)		
* 19. ls Applic	ation Subject to Review By	/ State Under Exe	cutive Order 1237	2 Process?				
a. This ap	plication was made availab	le to the State und	er the Executive C	order 12372 Pro	cess for re	view on		
🔲 b. Prograi	m is subject to E.O. 12372 b	out has not been s	elected by the Star	te for review.				
C. Program	n is not covered by E.O. 12	372.						
* 20. Is the Ap	plicant Delinquent On Any	Federal Debt? (I	f "Yes," provide e	xplanation in a	ttachment.	)		
Yes	⊠ No							
If "Yes", provi	de explanation and attach							
			Add Attachmer	Delete .	Attachmen	t Viev	v Attachment	
herein are tru comply with a	ng this application, I certify ne, complete and accurate ny resulting terms if I acce criminal, civil, or administ	to the best of rept an award. I am	ny knowledge. I a aware that any fa	also provide th Ise, fictitious, o	ne required or fraudule	d assuranc	es** and agree	to
★* I AGRE   The state of	E	51						
** The list of o	ertifications and assurances, ions.	or an internet site	where you may of	otain this list, is	contained i	in the anno	uncement or age	псу
Authorized Re	epresentative:							
Prefix:	Mr.	* Fir	st Name: Andy					
Middle Name:		19						
* Last Name:	Berke							
Suffix:								
* Title:	ayor, City of Chattan	nooga						
* Telephone Nu	mber: (423) 643-7800			Fax Number:				
* Email: mayo	r@chattanooga.gov							
* Signature of A	uthorized Representative:	N					* Date Signed:	Tluk

**S**.

OMB Number: 4040-0004 Expiration Date: 12/31/2019

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* 1. Type of Submis Preapplication Application Changed/Con	
* 3. Date Received:	4. Applicant Identifier:
5a. Federal Entity Id	lentifier: 5b. Federal Award Identifier:
State Use Only:	•
6. Date Received by	State: 7. State Application Identifier:
8. APPLICANT INF	ORMATION:
* a. Legal Name:	city of Chattanooga
* b. Employer/Taxpa	yer Identification Number (EIN/TIN):  * c. Organizational DUNS:  0431123410000
d. Address:	
* Street1: Street2: * City:	101 East 11th Street, Suite 200  Chattanooga
County/Parish:	Hamilton
* State: Province:	TN: Tennessee
* Country:	USA: UNITED STATES
* Zip / Postal Code:	37402-4201
e. Organizational (	Jnit:
Department Name:	Division Name:
Economic & Com	munity Dev. Community Development
f. Name and conta	ct information of person to be contacted on matters involving this application:
Prefix: Middle Name:	* First Name: Sandra
* Last Name: Gok	per
Title: Manager,	Community Development
Organizational Affilia	tion:
* Telephone Number	Fax Number: (423) 643-7341
* Email: sgober@	chattanooga.gov

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Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
C: City or Township Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify):
* 10. Name of Federal Agency:
U.S. Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.239
CFDA Title:
HOME Investment Partnership Program
* 12. Funding Opportunity Number:
14.239
* Title:
HOME Investment Partnership Program
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
HOME Projects - Affordable housing access, production, and preservation.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

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		No.	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant 3	* b, Program/Project 3
Attach an additional list of Program/Project Congressional Districts if needed.	
Add Attach	ment Delete Attachment View Attachment
17. Proposed Project:	* · · · · · · · · · · · · · · · · · · ·
* a. Start Date: 07/01/2019	* b. End Date: 06/30/2020
18. Estimated Funding (\$):	
* a. Federal 774,238.00	
* b. Applicant	
* c. State	
* d, Local	,
* e. Other	
* f. Program income 450,000.00	
*g. TOTAL 1,224,238.00	
* 19. Is Application Subject to Review By State Under Executive Order 1	2372 Process?
a. This application was made available to the State under the Executi	ve Order 12372 Process for review on
b. Program is subject to E.O. 12372 but has not been selected by the	State for review.
c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provid	de explanation in attachment.)
Yes No	
If "Yes", provide explanation and attach	
Add Attach	ment Delete Attachment View Attachment
21. *By signing this application, I certify (1) to the statements containe herein are true, complete and accurate to the best of my knowledge comply with any resulting terms if I accept an award. I am aware that ar subject me to criminal, civil, or administrative penalties. (U.S. Code, Tit	e. I also provide the required assurances** and agree to ny false, fictitious, or fraudulent statements or claims may
× I AGREE	,
** The list of certifications and assurances, or an internet site where you ma	by obtain this list, is contained in the announcement or agency
specific instructions.	,
Authorized Representative:	
Prefix:	dy
Middle Name:	
* Last Name: Berke	
Suffix:	
* Title: Mayor, City of Chattanooga	
* Telephone Number: (423) 643-7800	Fax Number:
* Email: mayor@chattanooga.gov	
* Signature of Authorized Representative:	* Date Signed:
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#### **CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

<u>Mayor</u> Title

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### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

- 1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).
- 2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2019, 2020, 2021 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.
- 3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

# Excessive Force -- It has adopted and is enforcing:

- 1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
- 2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

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Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official Da

Date

Mayo

Title

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## **Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

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Signature of Authorized Official	Date
Mayor	
Title	

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#### **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

**Major rehabilitation/conversion/renovation** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

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Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and
where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or
systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities,
or correction programs and institutions) in order to prevent this discharge from immediately resulting in
homelessness for these persons.

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Signature of Authorized Official	Date
<u>Mayor</u>	

# APPENDIX TO CERTIFICATIONS

## INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

# **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

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		a.	

#### **ASSURANCES - CONSTRUCTION PROGRAMS**

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

# PLEASE <u>DO NOT</u> RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property aquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- 4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seg.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

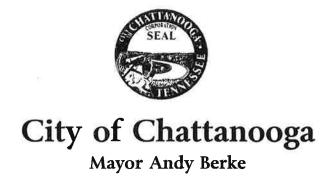
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- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the

- National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- 16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
$\sim$	Mayor	
APPLICANT ORGANIZATION		DATE SUBMITTED
City of Chattanooga		5/10/15

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			ő.	



May 10, 2019

U.S. Department of Housing and Urban Development Mary C. Wilson, Director, CPD John J. Duncan Federal Building, Suite 300 710 Locust Street, SW Knoxville, TN 37902-2526

Re: City of Chattanooga's FY 2019 Program Year Consolidated Annual Action Plan

Dear Mrs. Wilson:

I am pleased to inform you that City of Chattanooga's Annual Action Plan for FY 2019-2020 has been submitted via the Integrated Disbursement & Information System (IDIS). The plan details the projects, programs, and activities that will be undertaken with federal entitlement funds, program income, and local leveraging received during the program year. Enclosed are originals and two copies of the Certifications and SF-424s for each grant.

Sincerely,

Andy Berke Mayor

CC: Donna Williams, Administrator, Department of Economic and Community Development

Sandra Gober, Community Development Manager

**Enclosures** 

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OMB Number: 4040-0004 Expiration Date: 12/31/2019

Application fo	or Federal Assista	nce SF-424			
* 1. Type of Subm  Preapplicati  Application  Changed/Co		⊠ New	* If Revision, select appro	opriate letter(s):	,
* 3. Date Received	d:	4. Applicant Identifier:			
			1	J	
5a. Federal Entity	Identifier:		5b. Federal Award Id	entifier:	
State Use Only:		5-19			
6. Date Received	by State:	7. State Application I	dentifier:		
8. APPLICANT IN	FORMATION:				
* a. Legal Name:	City of Chattan	ooga			
* b. Employer/Taxp	payer Identification Nun	nber (EIN/TIN):	* c. Organizational DI	UNS:	
d. Address:					
* Street1: Street2: * City:	101 East 11th Chattanooga	Street, Suite 200			
County/Parish:	Hamilton				
* State:			TN: Tennes	see	
Province:					
* Country:		<u></u>	USA: UNITED S	STATES	
* Zip / Postal Code	37402-4201				
e. Organizational	l Unit:				
Department Name	:		Division Name:		
Economic & Co	ommunity Dev.		Community Deve	lopment	
f. Name and cont	tact information of pe	erson to be contacted on ma	tters involving this a	pplication:	
Prefix:		* First Name:	Sandra		
Middle Name:	·				
, <u>L</u>	ober				
Suffix:		]			
Title: Manager,	Community Devel	opment			
Organizational Affil	liation:				
* Telephone Numb	er: (423) 643-7332	?	Fax Numb	per: (423) 643-7341	
*Email: sgober	@chattanooga.gov				

	*	

Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type:
C: City or Township Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify):
* 10. Name of Federal Agency:
U.S. Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.218
CFDA Title:
Community Development Block Grant
* 12. Funding Opportunity Number:
14.218
* Title:
Community Development Block Grant
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
CDBG projects and programs for housing, economic development, public services, and community
development.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

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Application	for Federal Assistand	e SF-424	-			
16. Congress	ional Districts Of:					
* a. Applicant	3			* b. Pro	gram/Project 3	
Attach an addi	tional list of Program/Project 0	Congressional Distri	cts if needed.			
			Add Attachmen	nt Delete	Attachment	w Attachment
17. Proposed	Project:					
* a. Start Date:	07/01/2019			*	b. End Date: 06/30	0/2020
18. Estimated	Funding (\$):					
* a. Federal		1,609,780.00				
* b. Applicant					*	
* c. State						
* d. Local						
* e. Other						
* f. Program In	come	512,000.00				
* g. TOTAL		2,121,780.00				
* 19. Is Applic	ation Subject to Review By	/ State Under Exe	cutive Order 1237	2 Process?		
a. This ap	plication was made availab	le to the State und	er the Executive C	order 12372 Pro	cess for review on	2
b. Prograi	n is subject to E.O. 12372 t	out has not been s	elected by the Stat	e for review.		
c. Program	n is not covered by E.O. 12	372.				
* 20. Is the Ap	plicant Delinquent On Any	Federal Debt? (if	f "Yes," provide e	oplanation in at	ttachment.)	
Yes	⊠ No					
If "Yes", provi	de explanation and attach		Mr I - month	1	1	
			Add Attachmer	t Delete	Attachment Vie	w Attachment
herein are tru comply with a	g this application, I certify e, complete and accurate ny resulting terms if I acce criminal, civil, or administ	to the best of nept an award. I am	ny knowledge. I a aware that any fa	ilso provide th Ise, fictitious, c	ie required assuran or fraudulent statem	ces** and agree to
** I AGRE						
** The list of c specific instruct	ertifications and assurances, ions.	or an internet site	where you may ob	otain this list, is	contained in the anno	buncement or agency
Authorized Re	presentative:					
Prefix:	Mr.	* Firs	st Name: Andy			
Middle Name:						
* Last Name:	Berke					
Suffix:						
* Title:	yor, City of Chattar	nooga				
* Telephone Nu	mber: (423) 643-7800			Fax Number:		
* Email: mayo	e@chattanooga.gov					
* Signature of A	uthorized Representative:	M	~			* Date Signed:

OMB Number: 4040-0004 Expiration Date: 12/31/2019

Application for	r Federal Assista	nce SF-424			
* 1. Type of Submis Preapplication Application Changed/Cor		New [	If Revision, select appropriate of the select of the selec	priate letter(s):	
* 3. Date Received:	i i	4. Applicant Identifier:			
5a. Federal Entity k	dentifier:		5b. Federal Award Ide	entifier:	
State Use Only:				4	
6. Date Received by	y State:	7. State Application Id	dentifier:		
8. APPLICANT INF	FORMATION:				
* a. Legal Name:	City of Chattan	ooga			
* b. Employer/Taxpa	ayer Identification Nun	nber (EIN/TIN):	* c. Organizational DU	JNS:	
d. Address:					
* Street1: Street2: * City:	101 East 11th Chattanooga	Street, Suite 200			
County/Parish:	Hamilton				
* State:			TN: Tenness	see	
Province:					
* Country:			USA: UNITED S	TATES	
* Zip / Postal Code:	37402-4201				
e. Organizational	Unit:				
Department Name:			Division Name:		
Economic & Cor	mmunity Dev.		Community Devel	opment	
f. Name and conta	act information of pe	erson to be contacted on ma	tters involving this ap	pplication:	
Prefix:		* First Name:	Sandra		
Middle Name:					
* Last Name: Go:	ber			9	
Suffix:					
Title: Manager,	Community Devel	opment			
Organizational Affilia	ation:			9	
* Telephone Numbe	er: (423)643-733	2	Fax Numb	er: (423) 643-7341	
* Email: sgober@	chattanooga.gov				

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
C: City or Township Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	
U.S. Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
14.231	
CFDA Title:	
Emergency Solutions Grant	
* 12. Funding Opportunity Number:	
14.231	
* Title:	
Emergency Solutions Grant	7
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
Add Attachment Delete Attachment View Attachment	
* 15. Descriptive Title of Applicant's Project:	<b>—</b>
ESG programs providing services to stabilize households facing homelessness through rapid re- housing and prevention.	
nousing and prevention.	
Attach supporting documents as specified in agency instructions.	
Add Attachments Delete Attachments View Attachments	

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Application for Federal Assistance SF-424				
16. Congressional Districts Of:				
* a. Applicant 3 * b. Program/Project 3				
Attach an additional list of Program/Project Congressional Districts if needed.				
Add Attachment Delete Attachment View Attachment				
17. Proposed Project:				
* a. Start Date: 07/01/2019				
18. Estimated Funding (\$):				
* a. Federal 143,490.00				
* b. Applicant				
* c, State				
* d. Local				
* e. Other				
* f. Program Income				
*g. TOTAL 143,490.00				
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?				
a. This application was made available to the State under the Executive Order 12372 Process for review on				
b. Program is subject to E.O. 12372 but has not been selected by the State for review.				
c. Program is not covered by E.O. 12372.				
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)				
Yes No				
If "Yes", provide explanation and attach				
Add Attachment Delete Attachment View Attachment				
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)  ** I AGREE  ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.				
Authorized Representative:				
Prefix: Mr. * First Name: Andy				
Middle Name:				
* Last Name: Berke				
Suffix:				
*Title: Mayor, City of Chattanooga				
* Telephone Number: (423) 643-7800 Fax Number:				
* Email: mayor@chattanooga.gov				
* Signature of Authorized Representative: * Date Signed: * Date Signed:				

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OMB Number: 4040-0004 Expiration Date: 12/31/2019

Application for Federal Assistance SF-424	<i>5</i>				
Preapplication New	If Revision, select appropriate letter(s): Other (Specify):				
* 3. Date Received:  4. Applicant Identifier:					
5a. Federal Entity Identifier:	5b. Federal Award Identifier:				
State Use Only:					
6. Date Received by State: 7. State Application Id	lentifier:				
8. APPLICANT INFORMATION:					
* a. Legal Name: City of Chattanooga					
* b, Employer/Taxpayer Identification Number (EIN/TIN):	* c. Organizational DUNS:				
626000259	0431123410000				
d. Address:	.9				
*Street1: 101 East 11th Street, Suite 200					
Street2:					
* City: Chattanooga					
County/Parish: Hamilton					
* State:	TN: Tennessee				
Province:					
* Country:	USA: UNITED STATES				
* Zip / Postal Code: 37402-4201					
e. Organizational Unit:					
Department Name:	Division Name:				
Economic & Community Dev.	Community Development				
f. Name and contact information of person to be contacted on matters involving this application:					
Prefix: * First Name:	Sandra				
Middle Name: H.					
* Last Name: Gober					
Suffix:					
Title: Manager, Community Development					
Organizational Affiliation:					
* Telephone Number: (423) 643-7332	Fax Number: (423) 643-7341				
* Email: sgober@chattanooga.gov					

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Application for Federal Assistance SF-424
* 9. Type of Applicant 1: Select Applicant Type;
C: City or Township Government
Type of Applicant 2: Select Applicant Type:
Type of Applicant 3: Select Applicant Type:
* Other (specify);
* 10. Name of Federal Agency:
U.S. Department of Housing and Urban Development
11. Catalog of Federal Domestic Assistance Number:
14.239
CFDA Title:
HOME Investment Partnership Program
* 12. Funding Opportunity Number:
14.239
* Title:
HOME Investment Partnership Program
13. Competition Identification Number:
Title:
14. Areas Affected by Project (Cities, Counties, States, etc.):
Add Attachment Delete Attachment View Attachment
* 15. Descriptive Title of Applicant's Project:
HOME Projects - Affordable housing access, production, and preservation.
Attach supporting documents as specified in agency instructions.
Add Attachments Delete Attachments View Attachments

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Application for Federal Assistance SF-424					
16. Congressional Districts Of:					
* a. Applicant 3	* b. Program/Project 3				
Attach an additional list of Program/Project Congressional Dis	stricts if needed.				
	Add Attachment Delete Attachment View Attachment				
17. Proposed Project:					
* a. Start Date: 07/01/2019	* b. End Date: 06/30/2020				
18. Estimated Funding (\$):					
* a. Federal 774,238.	00				
* b. Applicant					
* c. State					
* d. Local					
* e. Other					
* f. Program Income 450,000.	00				
*g. TOTAL 1,224,238.	00				
* 19. Is Application Subject to Review By State Under E	xecutive Order 12372 Process?				
a. This application was made available to the State u	nder the Executive Order 12372 Process for review on				
b. Program is subject to E.O. 12372 but has not beer	n selected by the State for review.				
c. Program is not covered by E.O. 12372.					
* 20. Is the Applicant Delinquent On Any Federal Debt?	(If "Yes," provide explanation in attachment.)				
Yes No					
If "Yes", provide explanation and attach					
	Add Attachment Delete Attachment View Attachment				
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may					
subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)  **   AGREE					
	site where you may obtain this list, is contained in the announcement or agency				
specific instructions.	the where you may obtain this list, is contained in the announcement of agency				
Authorized Representative:					
Prefix: Mr. *	First Name: Andy				
Middle Name:					
* Last Name: Berke					
Suffix:					
*Title: Mayor, City of Chattanooga					
* Telephone Number: (423) 643-7800	Fax Number:				
*Email: mayor@chattanooga.gov					
* Signature of Authorized Representative:	* Date Signed:				
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#### CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

Date

Mayor Title

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#### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

- 1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).
- 2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2019, 2020, 2021 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.
- 3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

#### **Excessive Force** -- It has adopted and is enforcing:

- 1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
- 2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official

Date

Mayor

Title

•				

## **Specific HOME Certifications**

Title

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Subsidy layering** -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

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Signature of Authorized Official	Date
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#### **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

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Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and
where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or
systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities,
or correction programs and institutions) in order to prevent this discharge from immediately resulting in
homelessness for these persons.

nomeressitess for these persons.	
Signature of Authorized Official	Date
Mayor Title	

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#### APPENDIX TO CERTIFICATIONS

## INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

## **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

# PLEASE <u>DO NOT</u> RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property aquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- 4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- 7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- 10. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seg.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (i) the requirements of any other nondiscrimination statute(s) which may apply to the application.

- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- 12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the

National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

- 16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- 17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
- 18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- 19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
$\sim$	Mayor	
APPLICANT ORGANIZATION		DATE SUBMITTED
City of Chattanooga		5/10/15